

Form 210A (10/06)

## United States Bankruptcy Court

Southern District Of New York  
In re Lehman Brothers Holdings Inc., et al Case No. 08-13555

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Hua An International Balanced Fund

Anthracite Balanced Company (46) Limited

Name of Transferee

Name of Transferor

Name and Address where notices to transferee should be sent: Zhang Haimo  
Hua An Fund Management Co Ltd  
2F, New Shanghai International Tower  
360 South Pudong Road, Shanghai  
200120 P.R. China

Court Claim# (if known): 11013  
Amount of Claim: \$42,760,579.09  
Date Claim Filed: 09/09/2009

Phone: +86 2138 9699 08

Phone: +1 345 914 7525

Last Four Digits of Acct #: \_\_\_\_\_

Last Four Digits of Acct. #: \_\_\_\_\_

Name and Address where transferee payments should be sent (if different from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: [Signature]  
Transferee/Transferee's Agent

Date: 2010.12.30

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U.S.C. §§ 152 & 3571.

FILED  
U.S. BANKRUPTCY COURT  
2011 JAN -7 A 11:47  
S.D. OF N.Y.